Conflict-Tolerant Specifications for Hybrid Systems

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Abstract | We propose a framework for developing and reasoning about hybrid systems that are comprised of a plant with multiple controllers, each of which controls the plant intermittently. The framework is based on the notion of a “conflict-tolerant” specification for a controller, and provides a modular way of developing and reasoning about such systems. We propose a novel mechanism of defining conflict-tolerant specifications for general hybrid systems, using “acceptor” and “advisor” components. We also give a decision procedure for verifying whether a controller satisfies its conflict-tolerant specification, in the special case when the components are modeled using initialized rectangular hybrid automata.

1 Introduction

Modern embedded systems, ranging from washing machines to automobiles, contain a large number of features, many of which are realised as software controllers which advise the base system (or plant) on how to conform to the feature’s specification. In the automotive industry for example, advanced features such as adaptive cruise control, collision avoidance, and electronic stability control15 are developed as part of a software product line, and a subset of these features are integrated into automotive products based on market needs and regulatory requirements. In such a setting, the controllers do not always agree on how the system should behave, and could reach a point of “conflict” at which they offer conflicting advice. Such conflicts are usually resolved by a supervisory controller which may for example shut down a controller of lower priority until the system reaches a state where the supervisor deems it safe to resume the feature. Controllers for each feature are typically thus only intermittently in control of the system during its execution.

One would like to reason about such systems in a compositional manner, beginning with the correctness of each feature with respect to the base system, and then inferring a property of the whole system. Unfortunately, traditional specification mechanisms such as a safety specification which prescribes a prefix-closed set of behaviours, are not adequate in this setting. Fig. 1(a) illustrates a classical safety specification for a feature, shown as a shaded cone growing to the right with time, and a system trajectory $\sigma$. Once the system behaviour leaves the specified safety cone, due to say the feature being suspended, the controller has no specification to adhere to once it is resumed at time $t$.

In this paper we provide a framework that addresses some of these problems in the setting of hybrid system models. Our solution is based on the notion of “conflict-tolerance” introduced in earlier work in a discrete and real-time setting.6,7 The starting point of this framework is the notion of a conflict-tolerant specification. A conflict-tolerant specification is an advice function $f$ that specifies for every plant behaviour $\sigma$, a prefix-closed set of all future behaviours that are considered safe. This is illustrated in Fig. 1(b), which shows the initial safety cone, and the safety cone specified after the system has exhibited behaviour $\sigma$. A controller for the plant satisfies a conflict-tolerant specification $f$ if after every plant behaviour $\sigma$ (possibly not according to the controller’s advice), the subsequent behaviours of the plant that are according to the controller’s advice, are all contained in $f(\sigma)$.

To illustrate how a conflict-tolerant specification can capture a specifier’s intent more richly than a classical specification, consider a feature which requires the water level in a tank to be always between 2 and 4 cm. A classical specification for this feature is shown in Fig. 2(a). The invariant $2 \leq w \leq 4$ captures the desired requirement. If the controller for this feature is suspended due to a conflict, then the water level may rise above
The specification does not specify the desired behaviour if the water level falls below 2 cm or rises above 4 cm. In contrast, the tolerant specification in Fig. 2(b) specifies that ideally the water level should be between 2 and 4 cm and if this is violated, then the water level must be brought between 2 and 4 cm in less than 2 seconds after resuming the controller (the time of resumption is indicated by the dotted line). The hybrid automaton shown in Fig. 2(b) specifies an advice function in the following way. It has two components: an “acceptor” automaton shown on the left side, and an “advisor” automaton shown on the right side (with shaded states). The safety language advised for a behaviour $\sigma$ is obtained by running the acceptor automaton on $\sigma$ to reach a configuration $c$, then applying the function given by the dashed edges to obtain a configuration $d$ of the advisor automaton, and then collecting all possible behaviours allowed by the advisor automaton starting from the configuration $d$. In a similar manner, the tolerant specification in Fig. 2(c) specifies that ideally the water level should be between 2 and 4 cm, and if this is violated, then the water level must be kept below 2 cm if it is currently below 2 cm and kept above 4 cm if it is currently above 4 cm.

We note that both the tolerant specifications of Fig. 2(b) and (c) induce the same classical specification shown in Fig. 2(a), in that the behaviours of the plant that are always according to their advice coincide with the safety language of Fig. 2(a). However, as tolerant specifications they are quite different.

Let us now consider a system that comprises a base system $B$ with multiple conflict-tolerant controllers $C_1, \ldots, C_k$, each of which are known to satisfy their conflict-tolerant specifications.
\(J_1, \ldots, J_k\), respectively. Let these controllers be supervised by an arbitrary supervisory controller. Then we can give the following guarantee on the behaviour of the composed system: For each controller \(S_i\), in each interval of time during which its advice is taken, the behaviour of the system in this interval is according to the tolerant-specification \(S_i\). Thus, in each of the intervals in which it is in control, each controller does “the right thing”. This is illustrated in Fig. 1(b).

In this paper, our contributions are the following. We propose a novel mechanism to specify conflict-tolerant specifications for general hybrid systems, using acceptor and advisor components. We also show how we can algorithmically solve the controller verification problem—i.e. whether a controller satisfies its conflict-tolerant specification with respect to a given base system—when the plant, the controller, and the acceptor and advisor components of the tolerant specification are given as initialized rectangular hybrid automata.

We would like to emphasize that our framework is modular, in that each controller need only be specified, developed and verified once, regardless of which other controllers and supervisors it is integrated with.

In earlier work\(^6\)\(^–\)\(^8\) we have proposed a conflict-tolerant framework in the discrete and timed settings. Apart from the fact that we deal with more general behaviours in the form of signals, the work here departs from our earlier work in several ways.

- In earlier work, our tolerant specifications comprised a single component with “advised” and “not-advised” transitions. The notion of acceptor and advisor components used in this paper have enabled us to create a more general specification mechanism, not limited to only “consistent” specifications.
- In the hybrid setting, the specification and control mechanisms are very different from each other. The specification speaks only about the plant behaviours, whereas the controller has to control the plant using only the input signals. This is in contrast to the earlier settings in which the tolerant specification is essentially itself a valid controller for the plant.

**Related Work.** In\(^9\) it is argued that system verification must be decomposed by features as every feature naturally has an associated property to be verified. There are several approaches in the literature where features are specified as finite state automata and a conflict is detected by checking whether a state in which the features advise conflicting system actions, is reached.\(^{14}\) The problem of conflict detection at the specification stage is addressed in\(^9\) where conflict between two feature specifications in temporal logic is detected automatically. Our approach of viewing features as controllers\(^{17}\) follows that of\(^5\)\(^,\)^\(^9\)\(^,\)^\(^9\) in the setting of discrete events. In both these works, the main issue addressed is that of resuming the advice of a controller once it has been suspended due to conflict with a higher priority controller. In\(^5\) specifications are designed to anticipate conflict, by having two kinds of states, in-spec and out-of-spec. When a controller’s specification is violated it transitions to an out-of-spec state from where it passively observes the system behaviour, till it sees a specified event that brings it back to an in-spec state. Note that these controllers do not offer any useful advice in the out-of-spec states. In\(^12\) a rule-based feature model and composition operators for resolving conflicts based on prioritization is presented. However, the notion of a conflict-tolerant specification (as against the feature implementation itself) is absent in their work.

In the hybrid setting, the work in\(^18\) synthesizes controllers that satisfy safety specifications by computing a maximal safe set of states from which there exists a strategy to meet its specification. Outside this safe set, the synthesized controller allows any control input. In our setting this corresponds to synthesizing a controller (if one exists) for simple forms of tolerant specifications that comprise essentially the same safety cone for each possible behaviour.

The rest of the paper is structured as follows: After preliminary definitions, in Section 3 we introduce our control setting and illustrate conflict between two controllers. We then introduce the notion of conflict-tolerance in Section 4. In Section 5, we address the verification problem. We conclude with a discussion in Section 6.

## 2 Preliminaries

We model the behaviour of a hybrid system using the notion of a signal. Let \(W\) be a set of variables. A valuation for the variables \(W\) is a function \(w : W \to \mathbb{R}\). We denote by \(\mathcal{W}\) the set of all valuations for the variables in \(W\). For a valuation \(w\) over \(W\) and a subset \(X\) of \(W\) we denote by \(w \downarrow X\) the standard projection of the valuation to the variables in \(X\).

A *signal* over a set of variables \(W\) is a function \(\sigma : I \to \mathcal{W}\) where the domain \(I\) is an interval \([0,r]\) for some \(r \geq 0\) and \(\sigma\) has only finitely many points of discontinuity. Thus, there is a strictly increasing sequence of time points \(t_0 = 0 < t_1 < t_2 < \cdots < t_n = r\) such that for every \(k \in \{0, \ldots, n-1\}\), \(\sigma\) is continuous...
in the interval $I_0 = [t_0, t_1]$. Note that in each interval $I_0$, $\sigma$ is right continuous at $t_i$. Our definition of a signal is similar to that of temporal behaviour in. We denote by $\mathcal{W}$ the set of all signals over the set of variables $W$. A signal language over $W$ is simply a subset of $\mathcal{W}$. For a signal $\sigma$ over $W$ and a subset $X$ of $W$ we denote by $\sigma \supseteq X$ the projection of the signal $\sigma$ to the set of variables $X$.

Let $\varepsilon : [0,0) \rightarrow W$ denote the empty signal, i.e. the signal whose domain is an empty set. Let $\sigma_1 : [0, t_1) \rightarrow W$ and $\sigma_2 : [0, t_2) \rightarrow W$ be signals in $\mathcal{W}$. We define the concatenation of $\sigma_1$ and $\sigma_2$, denoted $\sigma_1 \cdot \sigma_2$, to be the signal $\sigma : [0, t_1 + t_2) \rightarrow W$ given by

$$
\sigma(t) = \begin{cases} 
\sigma_1(t) & \text{if } t < t_1 \\
\sigma_2(t-t_1) & \text{if } t_1 \leq t < t_1 + t_2.
\end{cases}
$$

For signals $\sigma_1, \sigma_2 \in \mathcal{W}$, we say that $\sigma_1$ is a prefix of $\sigma_2$, denoted $\sigma_1 \preceq \sigma_2$, if there exists a signal $\sigma \in \mathcal{W}$ such that $\sigma \preceq \sigma_2$. We say that a signal language $L$ over $W$ is prefix-closed if whenever $\tau \in L$ and $\rho \prec \tau$, we have $\sigma \in L$. For a set of signals $L \subseteq \mathcal{W}$ and a signal $\sigma$, we denote by $\text{ext}_\sigma(L)$, the set of extensions of $\sigma$ that are in $L$, i.e.

$$
\text{ext}_\sigma(L) = \{ \tau \in \mathcal{W} \mid \sigma \cdot \tau \in L \}.
$$

Our definition of a hybrid automaton is adapted from the definitions of hybrid automata in the literature. We consider “open” hybrid automata in which only some of the variables are controlled by the automaton. We first define the components of a hybrid automaton and give an informal description of what the components stand for. The way in which the components are used will be described formally when we define a trajectory of a hybrid automaton.

**Definition 1 (Hybrid Automaton).** A hybrid automaton is a tuple

$$
\mathcal{H} = (Q, V, C, F, \text{init}, \text{tcp}, \rightarrow)
$$

where

- $Q$ is a finite set of discrete variables with a finite range of values. The finite set $Q$ is the set of modes of $\mathcal{H}$.
- $V$ is a finite set of state variables. The set $V$ represents the states of $\mathcal{H}$. A configuration of $\mathcal{H}$ is a pair $(q, v) \in Q \times V$. The set $C \subseteq V$ is the set of variables controlled by $\mathcal{H}$, i.e. $\mathcal{H}$ constrains the initial value, continuous flow and resets of variables in $C$. Let $\bar{C}$ denote the set of uncontrolled variables of $\mathcal{H}$, i.e. $\bar{C} = V \setminus C$.
- Let $D = C \rightarrow 2^\mathbb{R}$ be the set of maps specifying a set of derivatives for variables in $C$. Then, $F : Q \rightarrow (V \rightarrow D)$ assigns to each mode a flow condition which constrains the time derivative of the continuous flow of the controlled variables.

Thus, for $q \in Q$ and $v \in V$, $F(q)(v) = d$ says that when current state of the system is $v$ in mode $q$, the time derivative of the signal for each variable $c \in C$ must be in $d(c)$. Alternatively, $D$ can be looked at as defining a set-valued vector field $D$ which prescribes the laws of continuous flow for the controlled variables. We assume that for a discrete variable $u$, the signals of $u$ are constant in every mode.

- $\text{init} \subseteq Q \times C$ specifies a set of initial configurations given by $\{(q, v) \in Q \times V \mid (q, v) \supseteq C \in \text{init}\}$. Thus, init does not constrain the initial values of the uncontrolled variables.
- $\text{tcp} : Q \rightarrow 2^V$ assigns to each mode a time can progress condition. If $v \in \text{tcp}(q)$, then it is possible for the automaton $\mathcal{H}$ to evolve continuously from the state $v$.

- $\rightarrow \subseteq Q \times (V \times V)$ is a jump relation. Thus for a jump $e = (q, g, \text{reset}, q') \in \rightarrow$, $q'$ is the source mode, $q'$ is the target mode, $g$ is the subset of states from which the jump $e$ is enabled and reset: $V \rightarrow 2^C$ is a function which gives the possible values that the controlled variables can be reset to after the jump $e$.

For a mode $q \in Q$, by $F_q$ we mean the flow condition $F(q)$, and by $\text{tcp}_q$ we mean the time can progress condition $\text{tcp}(q)$. Note that init does not constrain the initial valuations of the uncontrolled variables. Similarly, the flow condition $F_q$ does not constrain the continuous flow of the uncontrolled variables. However, the set $\text{tcp}_q$ can be used to express any assumptions on the valuations of the uncontrolled variables and the controlled variables in a given mode $q$. It can also be used to force a change of mode and thereby change the laws of continuous flow prescribed by $F_q$.

Let $(q, v), (q', v')$ be configurations of $\mathcal{H}$. Let $\tau : [a, b) \rightarrow V$ be a function which is continuous in $[a, b)$ and differentiable in $(a, b)$. We say that $\mathcal{H}$ evolves continuously from $(q, v)$ to $(q', v')$ with signal $\tau$, written $(q, v) \xrightarrow{\tau}(q', v')$, if the following conditions are satisfied:

- $\tau(a) = v$,
- $\tau(b') = v'$ where $b'$ is the left limit of $\tau$ at $b$,
- for all $t \in [a, b), \tau(t) \in \text{tcp}_q$, and
- For $c \in C$, let $\tau_c$ denote the signal $\tau_{[c]}$. Then for all $t \in (a, b)$ and for all controlled variables $c \in C$, $\tau_c(t) \in F_q(\tau(t))(c)$, i.e. the signal for the controlled variables obeys the flow condition of mode $q$.

We say that $\mathcal{H}$ "jumps" from $(q, v)$ to $(q', v')$, written $(q, v) \rightarrow (q', v')$ iff there exists $(q, g, \text{reset}, q')$.
be a set of maps specifying the following conditions. Let $I = \{0, r\}$ with $r \geq 0$, and let $t_0, \ldots, t_r$ be the union of the points of discontinuity in the signals $v$ and $\sigma$. Let $I_0$ be the interval $[t_0, t_{k+1})$. Then

- Either $v(0) = q$, and $\sigma(0) = v$, or $v(0) = q'$ and $\sigma(0) = v'$ and $(q, v) \rightarrow (q', v')$. Thus, the trajectory starts either with a continuous evolution from $(q, v)$ or with a jump from $(q, v)$ to $(q', v')$.
- For every $k$ from 0 to $n-1$, $v$ is a constant function in the interval $I_k$, and $\sigma$ is continuous in the interval $I_k$.
- Let $q_k$ be the value of $v$ in the interval $I_k$. Then $H$ can evolve continuously from $(q_k, \sigma(t_k))$ to $(q_{k+1}, \sigma(t_{k+1}))$ via the signal $\sigma$ restricted to the interval $[t_k, t_{k+1})$.
- For every $k$ from 1 to $n-1$,
  - either $(q_{k-1}, \sigma(t_k)) \rightarrow (q_k, \sigma(t_k))$, i.e., $H$ jumps,
  - or $q_k = q_{k-1}$ and $(\sigma \supseteq C)(t_k) = (\sigma \supseteq C)(t_{k+1})$, i.e., $H$ does not jump and the controlled variables do not change.

Let $Traj(q,v)(H)$ denote the set of trajectories of $H$ starting from a configuration $(q,v)$. Let $Traj(H)$ denote the set of trajectories of $H$ starting from any $(q,v)$ which satisfies initial, i.e.,

$\text{Traj}(H) = \{\tau \in \text{Traj}(q,v)(H) \mid (q,v \triangleright C) \in \text{init}\}$.

The signal language of $H$ starting from a given configuration $(q, v)$, denoted $L_{q,v}(H)$, is defined as $L_{q,v}(H) = \{\sigma \triangleright v \mid \exists \sigma : (v, \sigma) \in \text{Traj}(q,v)(H)\}$. The signal language of $H$, denoted $L(H)$, is defined as $L(H) = \{\sigma \triangleright v \mid (v, \sigma) \in \text{Traj}(H)\}$. We say a hybrid automaton $H$ is deterministic if for any signal $\sigma \in L(H)$, there is exactly one trajectory of the form $(v, \sigma) \in \text{Traj}(H)$.

Let $X \subseteq V$ and let $\tau : [0, r) \rightarrow X$ be a signal over $X$. We define the configurations of $H$ after $\tau$, denoted $config_H(\tau)$, to be

$\text{config}_H(\tau) = \{(q, v) \mid \exists (v, \sigma) \in \text{Traj}(H) s.t. v(\tau) = q \ \text{and} \ \sigma(\tau) = v \ \text{and} \ \sigma \supseteq X = \tau\}$.

We define the language of $H$ after $\sigma$ to be

$L_{\sigma}(H) = \bigcup_{(q,v) \in \text{config}_H(\sigma)} L_{q,v}(H)$.

Let $H_1 = (Q_1, X, C_1, F_1, init_1, tcp_1, \rightarrow)$ and $H_2 = (Q_2, X, C_2, F_2, init_2, tcp_2, \rightarrow)$ be two hybrid automata over the same set of variables $V$. The automata $H_1$ and $H_2$ may represent two controllers that control the same input variables to a plant, and hence $C_1 \cap C_2$ may not be empty. The synchronized product of $H_1$ and $H_2$, denoted $H_1|\overline{H_2}$, is defined to be the hybrid automaton $H = (Q, V, C, F, init, tcp, \rightarrow)$ where

- $Q = Q_1 \times Q_2$,
- $C = C_1 \cup C_2$,
- Let $D = C \rightarrow 2^R$ be a set of maps specifying a set of derivatives for variables in $C$. Then $F : Q \rightarrow (V \rightarrow D)$ is given by $\forall q, q \in Q, v \in V, v \in V,$
  - $u \in D, d \in F(q, q)(v)$ iff $d \supseteq C_1 \supseteq F_1(q_1)(v)$ and $d \supseteq C_2 \supseteq F_2(q_2)(v)$,
- $\text{init} \subseteq Q \times 2^C$ where $((q_1, q_2), c) \in \text{init}$ iff $(q_1, c \supseteq C_1) \in \text{init}$, and $(q_2, c \supseteq C_2) \in \text{init}$,
- $\text{tcp} : Q \rightarrow 2V$ where $\text{tcp}((q_1, q_2)) = \text{tcp}(q_1) \cap \text{tcp}(q_2)$,
- $((q_1, q_2), g, \text{reset}, (q_1', q_2')) \in \rightarrow$ if one of the conditions below is satisfied:
  - $q_1 = q_1', \exists (q_2, g_2, \text{reset}_2, q_2') \in \rightarrow$, s.t. $g = g_2$ and $c \in \text{reset}(v)$ iff $c \supseteq C_2 \supseteq \text{reset}_2(v)$ and $c \supseteq C_1 = v \supseteq C_1$.
  - $(q_1, g_1, \text{reset}_1, q_1') \in \rightarrow$, $g = g_1$ and $c \in \text{reset}(v)$ iff $c \supseteq C_1 \supseteq \text{reset}_1(v)$, $c \supseteq C_2 = v \supseteq C_2$, and $q_2 = q_2'$.
  - $(q_1, g_1, \text{reset}_1, q_1') \in \rightarrow$, $g = g_1$ and $c \in \text{reset}(v)$ iff $c \supseteq C_1 \supseteq \text{reset}_1(v)$ and $c \supseteq C_2 \supseteq \text{reset}_2(v)$.

**Proposition 1.** Let $H_1$ and $H_2$ be two open hybrid automata. Then $L(H_1) \cap L(H_2) = \hat{L}(H_1) \cap \hat{L}(H_2)$.

### 3 Controllers and Conflict

In this section we introduce our control setting, safety specifications, and conflict between controllers. We use a running example to illustrate these notions.

We consider a control setting that is based on a partitioned set of variables $(X, U, Y)$. Here $X$ is the set of variables controlled by the plant, $U$ is the set of input variables of the plant used by the controller to control it and $Y$ is the set of auxiliary variables used by the specification (for example clock variables to represent timing constraints).

**Definition 3 (Plant)** Let $V = X \cup U$. A **plant** over $(X, U, Y)$ is a deterministic hybrid automaton $P$ over $V$, which controls the variables in $X$. We assume that the plant $P$ is non-blocking in that if $\sigma \in L(P)$, then $L_{\sigma}(P) \neq \{\varepsilon\}$.

As a running example, we consider a car under the control of two features: **cruise control** and **electronic stability control**. For the car, we use a simple model in which it is assumed that the friction retarding the motion of the car is proportional to the car’s speed. The equation of
motion can then be written as \( u - bv = m \dot{v} \) where \( u \) is the force imparted by the engine, \( v \) is the velocity, \( bv \) is the frictional force and \( m \) is the mass of the car.

A plant model of the car is shown in Fig. 3. The set of plant variables is \( X = \{ x, v, a_x, cc \} \) where \( x \) denotes the position of the car, \( v \) the velocity, \( a_x \) denotes the sensed lateral acceleration and \( cc \) is a discrete variable which denotes whether the cruise control feature is off (\( cc = 0 \)) or on (\( cc = 1 \)). The set of input variables is \( U = \{ u \} \) where \( u \) denotes the acceleration or braking force applied on the car. Initially, the position and velocity are zero, and cruise control is off. The flow conditions of the plant are

\[
\begin{align*}
\dot{x} &= v \\
\dot{v} &= -\frac{b}{m}v + \frac{1}{m}u
\end{align*}
\]

in all control states.

**Definition 4 (Controller).** A controller over \((X,U,Y)\) is a deterministic hybrid automaton \( C = (Q, V, U, F, \text{init}, \tau_c, \rightarrow) \) over \( V \) which controls the variables in \( U \). The controller \( C \) is valid with respect to a plant \( P \) over \((X,U,Y)\) if \( C \) is non-blocking with respect to \( P \), i.e. if \( \sigma \in L(P\|\mathcal{C}) \), then \( L_c(P\|\mathcal{C}) \neq \{\varepsilon\} \).

A classical safety specification over \((X,U,Y)\), is a deterministic hybrid automaton \( \mathcal{H} \) over \( X \cup Y \) which prescribes a language \( L(\mathcal{H}) \) representing the set of behaviours it considers safe. We note that the language \( L(\mathcal{H}) \) is necessarily prefix-closed. Let \( P \) be a plant over \((X,U,Y)\), \( C \) be a controller for \( P \) and \( \mathcal{S} \) be a safety specification over \((X,U,Y)\). We say that the controller \( C \) for \( P \) satisfies \( \mathcal{S} \) if

\[
L(P\|C) \supseteq X \subseteq L(P\|S).
\]

As an example, we consider a cruise control feature which should satisfy the following requirements once the driver turns on cruise control and sets a reference speed \( V_r \).

- There are two modes of operation: gradual mode and rapid mode.
- In the gradual mode, the rise time, i.e. the time it takes for the car to reach the reference speed, can be up to 20 seconds. In the rapid mode, the rise time must be less than 5 seconds.
- The steady state error must be less than a given threshold \( \varepsilon \), that is \( |V_r - v| < \varepsilon \).

Figure 4 shows a specification which captures the above requirements. If the difference between the current speed and the reference speed is greater than 10, then the car must rapidly accelerate so that the speed is equal to the reference speed within 5 seconds. Otherwise, the car can gradually accelerate to reach the reference speed within 20 seconds.

The controllers are implemented using proportional, integral control. The input \( u \) is of the form [16]
\[ u(t) = K_p(V_r - v(t)) + K_i(V_r \cdot t - x(t)) \]

where \( K_p \) is the proportional gain and \( K_i \) is the integral gain. For the rest of the paper, we fix the mass of the car \( m = 1000 \text{ kg} \), the coefficient of proportionality \( b = 50 \text{ N} \cdot \text{s/m} \), the reference speed set by the driver \( V_r = 30 \text{ m/s} \) and the steady state error threshold \( \varepsilon = 5\% \) of \( V_r \).

Figures 6 and 7 show the response of the controlled system when cruise control is turned on with the reference speed \( V_r = 30 \text{ m/s} \) and the car is running at 10 m/s and at 22 m/s respectively. The given implementation of the controller is valid with respect to the plant \( P \) and satisfies the cruise control specification \( S_{cc} \).

Now consider another feature called electronic stability control which is used to improve the stability of the car. When the car is traveling on a curve of radius \( r \), the lateral acceleration is given by \( a_y = v^2/r \). The stability control feature requires the lateral acceleration to be under a certain threshold \( l_{th} \).

Figure 8 shows a specification for this feature which requires that if the lateral acceleration exceeds the threshold \( l_{th} \), then it must be brought under the threshold within 2 seconds. The given implementation of the controller is valid with respect to the plant \( P \) and satisfies the cruise control specification \( S_{cc} \).

We now illustrate the notion of conflict between controllers.

**Definition 5 (Conflict).** Let \( C_1 \) and \( C_2 \) be valid controllers for a plant \( \mathcal{P} \). The controllers \( C_1 \) and \( C_2 \) are in conflict with respect to \( \mathcal{P} \), if there exists a behaviour \( \tau \) in \( L(\mathcal{P} || C_1 || C_2) \) such that \( L(\mathcal{P} || C_1 || C_2) = \{\varepsilon\} \), i.e. after the plant behaviour \( \tau \), the controllers \( C_1 \) and \( C_2 \) do not agree on any extension of \( \tau \).

Consider the example plant behaviour shown in Fig. 10. The driver turns on cruise control when the car is traveling at a speed of 22 m/s (79 km/hr). Later, the car travels on a curve and the lateral acceleration exceeds the safety threshold requiring stability control to intervene after 23 seconds. The cruise control specification requires the car to be within 5\% of the target speed of 30 m/s (108 km/hr) whereas the stability control specification requires the speed to be reduced so that the lateral acceleration falls below the safety threshold.

Let us say the controllers are overseen by a supervisory controller, that intervenes in favour of stability control to continue with its advice while disregarding the advice of the cruise controller. If the car now reaches a stable state where the lateral acceleration is within the threshold, and the superviser seeks to resume the cruise control feature, the cruise controller has no meaningful specification to adhere to, since the plant's
trajectory has already departed from its set of safe behaviours.

4 Conflict-Tolerant Specifications

We now introduce our notion of a conflict-tolerant specification that addresses some of the issues with classical specifications brought out in the last section.

Definition 6 (Advice Function). An advice function over a set of variables $W$ is a function $f : W \rightarrow 2^W$ such that for every signal $\sigma \in W$, $f(\sigma)$ is a prefix-closed set of signals.

Let $(X, U, Y)$ be a partitioned set of variables. A conflict-tolerant specification over $(X, U, Y)$ is simply an advice function over $X$.

Let $\mathcal{P}$ be a plant over $(X, U, Y)$. Let $\mathcal{C}$ be a controller for $\mathcal{P}$. Let $\sigma$ be a behaviour of the plant not necessarily generated under the control of the controller $\mathcal{C}$. Then we can define the behaviour of the plant $\mathcal{P}$ controlled by $\mathcal{C}$, after the plant behaviour $\sigma$, denoted $L_{\mathcal{C}}(\mathcal{P})|\sigma$, as follows. Suppose the plant $\mathcal{P}$ has reached a configuration $(p, (x, u))$ after $\sigma$. Let the configuration reached by the controller $\mathcal{C}$ after observing $\sigma \upharpoonright X$ be $(q, (x, u'))$. Then $L_{\mathcal{C}}(\mathcal{P})|\sigma$ is defined to be the set of behaviours of $\mathcal{P}|\sigma$ from the configuration $((p, q), (x, u'))$. Thus, the state of the variables controlled by the plant remains the same, but the input configuration is $u'$ as advised by the controller $\mathcal{C}$.
Definition 7 ($\mathcal{P}$ satisfies $f$). Let $\mathcal{P}$ be a plant over $(X,U,Y)$ and let $f$ be a conflict-tolerant specification over $(X,U,Y)$. A controller $\mathcal{C}$ for $\mathcal{P}$ satisfies $f$ iff for each $\sigma \in L(\mathcal{P})$,

$$L_\sigma(\mathcal{P}) \triangleright X \subseteq f(\sigma \triangleright X).$$

Thus after any plant behaviour $\sigma$ over $X$, if the plant follows the advice of $\mathcal{C}$, then the resulting behavior over $X$ conforms to the safety language prescribed by $f$ after observing $\sigma$ restricted to $X$.

We now describe a mechanism to define an advice function using hybrid automata. The mechanism will use an acceptor automaton and an advisor automaton as described below.

**Definition 8** (Conflict-Tolerant Hybrid Automaton). Let $(X,U,Y)$ be a partitioned set of variables and let $W = X \cup Y$. A conflict-tolerant hybrid automaton over $(X,U,Y)$ is a tuple $\mathcal{J}' = (\text{Acc}, \text{Adv}, E)$ where

- $\text{Acc}$ is a hybrid automaton over $W$ called the acceptor. Let $\text{Acc} = (P,W,W,F_1,\text{init}_1,tcp_1,\rightarrow_1)$. The acceptor automaton must be deterministic with respect to $X$, i.e., for all $\sigma \in L(\text{Acc}) \triangleright X$, there is a unique trajectory $\tau$ of $\text{Acc}$ such that $\tau \triangleright X = \sigma$.
- $\text{Adv}$ is a deterministic hybrid automaton over $W$ called the advisor. Let $\text{Adv} = (Q,W,W,F_2,\text{init}_2,tcp_2,\rightarrow_2)$. In addition, as defined below, the advice relation is a function which gives the states of the advisor $\text{Adv}$ when the edge $e$ is taken and $q$ is a mode of the advisor $\text{Adv}$.
- $E \subseteq P \times 2^W \times (W \rightarrow 2^W) \times Q$ is the advice relation between the configurations of the acceptor $\text{Acc}$ and the advisor $\text{Adv}$. For an edge $e = (p,g,\text{reset},q) \in E$, $p$ is a mode of $\text{Acc}$, $g$ is the subset of states of $\text{Acc}$ from which $e$ is enabled, $\text{reset} : W \rightarrow 2^w$ is a function which gives the states of the advisor $\text{Adv}$ when the edge $e$ is taken and $q$ is a mode of the advisor $\text{Adv}$.

In addition, as defined below, the advice relation must be deterministic and it must not reset the variables in $X$. Let $m_e : (P \times W) \rightarrow 2^{V \times W}$ be the map induced by the advice relation $E$ such that $(q,w',w) \in m_e((p,w))$ if and only if there exists $e = ((p,g,\text{reset},q) \in E, w \in g$ and $w' \in \text{reset}(w)$. For all reachable configurations $(p,w)$ of $\text{Acc}$, the map $m_e$ must be such that $m_e((p,w)) = 1$ and if $m_e((p,w)) = 1$, then $w' \triangleright X = w \triangleright X$. In the sequel we will treat $m_e$ as a function on the reachable states of $\text{Acc}$ that maps a reachable configuration $(p,w)$ of $\text{Acc}$ to a configuration $(q,w')$ of $\text{Adv}$.

The conflict-tolerant hybrid automaton $\mathcal{J}'$ above defines an advice function over the set of variables $X$ as follows. Let $\sigma$ be a signal in $L(\text{Acc}) \triangleright X$. Then there is a unique configuration $(p,w)$ reached by $\sigma$ in the acceptor automaton $\text{Acc}$. Let $m_e((p,w)) = (q,w')$. Then we define the constrained signal language of $\mathcal{J}'$ after $\sigma$, denoted $L_\sigma(\mathcal{J}')$, to be $L_{q,w'}(\text{Adv})$.

The advice function $f_{\mathcal{J}'}$ over $X$ defined by the conflict-tolerant hybrid automaton $\mathcal{J}'$ can now be defined to be

$$f_{\mathcal{J}'}(\sigma) = \begin{cases} L_\sigma(\mathcal{J}') \triangleright X & \text{if } \sigma \in L(\text{Acc}) \triangleright X \\ \mathcal{J}' & \text{otherwise.} \end{cases}$$

Given a plant $\mathcal{P}$, a controller $\mathcal{C}$, and a conflict-tolerant hybrid automaton $\mathcal{J}'$ over $(X,U,Y)$, we say $\mathcal{C}$ satisfies $\mathcal{J}'$ with respect to $\mathcal{P}$ if $\mathcal{C}$ satisfies
the conflict-tolerant specification $f_r$, with respect to $\mathcal{P}$.

We now illustrate these definitions with a couple of tolerant specification for the cruise control feature discussed in the previous section. Figure 11 shows a tolerant specification for cruise control in which the automaton on the left side is the acceptor and the automaton on the right side (with shaded states) is the advisor. The transitions from the modes of the acceptor to the modes of the advisor denoting the advice relation, are shown with dotted arrows. If cruise control is turned on, then the advisor automaton advises to accelerate either in the gradual mode (when $|v_r - v| \leq 10$) or in the rapid mode (when $|v_r - v| > 10$) unless $|v_r - v| < \varepsilon$ in which case the advice is to continue in the steady mode. If the cruise control is turned off, then it can continue to be off or it can be turned on. This tolerant specification is a natural extension of the classical specification given in Fig. 4.

Figure 12 shows another tolerant specification for the cruise control feature. This specification requires that (i) if cruise control has been turned on for a long time (more than 20 seconds), then the car must rapidly accelerate irrespective of the magnitude of the difference between $v$ and $v_r$, and (ii) if cruise control has been turned on (possibly when $|v_r - v| \leq 10$) but $|v_r - v|$ is currently greater than 10, then the car must rapidly accelerate. The acceptor automaton uses clock $w$ to measure the time since the driver has turned on cruise control.

Note that both these tolerant specifications induce the same classical specification shown in Fig. 4, in that the behaviours of the plant that are always according to their advice coincide with the safety language of Fig. 4. However, as tolerant specifications they are quite different.

Figure 13 shows a controller that satisfies the tolerant specification $\mathcal{S}_1$ in Fig. 11 (but not the tolerant specification $\mathcal{S}_2$).

Consider now the example plant under the control of the controller $\mathcal{C}_2$ of Fig. 13 and the controller for stability control. After 20 seconds, the cruise control specification in the gradual mode requires the speed to be within 5% of the target

![Figure 11: Tolerant cruise control specification $\mathcal{S}_1$. Here $y = 1$.](image-url)
Figure 12: Tolerant cruise control specification $\mathcal{X}_2$. Here $\dot{y} = \dot{\nu} = 1$.

Figure 13: Controller $\mathcal{C}_2$ for tolerant specification $\mathcal{X}_2'$. 
speed. However, stability control intervenes after 23 seconds as the speed has to be reduced while traveling on a curve. Let us say the conflict between the controllers shown in Fig. 10 is resolved in favour of the stability controller, and subsequently cruise control is resumed after the conflict, as shown in Fig. 14. When cruise control is resumed, \(|V - v| > 10\) and hence the advice changes to accelerate rapidly. Since \(C_1\) is a controller that satisfies the tolerant specification \(S'_2\), we have a guarantee that the controlled system conforms to the tolerable \(\{\}^{*}\) always lies between two fixed bounds, for during the jump, if a variable is reset, it is set to a jump is enabled (guard set) is rectangular and are rectangular. Also, the set of states from which is a bounded or unbounded interval, i.e. \(G\) is a function which maps the state space of \(P\) to that of \(R\) defined by

\[
h_{G}(\{q, y\}) = \{(q, x) | y_{2i-1} \leq x_i \leq y_{2i}\}.
\]

The variable \(y_{2i-1}\) tracks the least possible value of \(x_i\) and the variable \(y_{2i}\) tracks the greatest possible value of \(x_i\). The jump relation of \(M_s\) is constructed such that this continues to hold even after a jump. The initialized multirate automaton \(M_s\) is further translated into a timed automaton \(T_s\) by rescaling the state space. Let \(h_{\mathcal{K}} : Q \times \mathbb{R}^{2n} \rightarrow Q \times \mathbb{R}^{n}\) be a function which maps the state space of \(M_s\) to that of \(M_s\) defined by

\[
h_{\mathcal{K}}((q, y)) = (h_{\mathcal{K}}(q), y_{2i-1} \leq x_i \leq y_{2i}).
\]

We say that a conflict-tolerant hybrid automaton \(\mathcal{A}\) is a conflict-tolerant initialized rectangular automaton when the acceptor \(Acc\) and the advisor \(Adv\) are initialized rectangular automata, and the advice relation \(R\) is rectangular in that if \((p, g, \text{reset}, q) \in E\), then \(g\) and \(\text{reset}(w)\) are rectangular sets for all \(w\).

We recall the basic definitions of rectangular automata from the literature. A rectangular set \(R \subseteq \mathbb{R}^n\) is of the form \(R_1 \times \cdots \times R_n\) where each \(R_i\) is a bounded or unbounded interval, i.e. \(R\) is a product of \(n\) intervals of the real line. In a rectangular hybrid automaton, the sets init, tcp, \(F_s\) are rectangular. Also, the set of states from which a jump is enabled (guard set) is rectangular and during the jump, if a variable is reset, it is set to a value within a fixed constant interval. In addition, in every mode, the derivative of each variable always lies between two fixed bounds, for example \(\dot{x} \in [1,2]\). These bounds may vary from one mode to another. A rectangular automaton is said to be initialized if for every variable \(v\), after a jump from mode \(q\) to mode \(q'\), either the flow condition of \(v\) remains the same or \(v\) has been reset.

Rectangular hybrid automata are an interesting subclass of hybrid automata because they can be used for conservatively approximating sets of arbitrary hybrid trajectories. Thus, rectangular automata could be used to create abstractions of complex hybrid systems which can then be verified. Let \(s, t\) be two states of a hybrid automaton \(H\). In the reachability problem for hybrid automata, we are interested in checking whether there is a trajectory of \(H\) that starts at \(s\) and ends at \(t\). It has been shown that the reachability problem for initialized rectangular automata is decidable.

This result is obtained by first translating an initialized rectangular automaton \(R\) to an initialized multirate automaton \(M_s\), in which each variable evolves according to a constant, rational slope, which may be different in different control states. Then the multirate automaton \(M_s\) is translated into a timed automaton \(T_s\) for which the reachability problem is known to be decidable.

We now sketch the key ideas behind this two-step translation. Let \(R\) be an initialized rectangular automaton of \(n\) variables. Consider a variable \(x_i\) with \(\dot{x}_i = [l, u]\) in \(R\). In the corresponding initialized multirate automaton \(M_p\), each variable \(x_i\) is replaced by two variables \(y_{2i-1}\) and \(y_{2i}\) such that \(\dot{y}_{2i-1} = l\) and \(\dot{y}_{2i} = u\). Let \(h_{\mathcal{K}} : Q \times \mathbb{R}^{2n} \rightarrow Q \times \mathbb{R}^{n}\) be a function which maps the state space of \(M_s\) to that of \(R\).
Lemma 1. Let $A$ be a set of configurations of an initialized rectangular automaton $\mathcal{R}$ which is representable as a set of regions $B$. Then $\text{Reach}_A(A)$ is representable as the set of regions $\text{Reach}_B(B)$.

Let $\mathcal{J} = (\text{Acc}, \text{Adv}, E)$ be a conflict-tolerant initialized rectangular automaton. Recall that the advice relation $E$ induces a map $m_{\text{E}}$ which maps a configuration of the acceptor $\text{Acc}$ to a configuration of the advisor $\text{Adv}$. Corresponding to $m_{\text{E}}$, we can obtain an equivalent map $m'_{\text{E}}$ which maps a configuration $(p, y)$ of the timed automaton corresponding to the acceptor, to a configuration $(q, y')$ of the timed automaton corresponding to the advisor, such that $(q, y') = m'_{\text{E}}((p, y))$ iff $h_{\text{E}}(h_{\mathcal{J}}(q, y')) = m_{\text{E}}(h_{\mathcal{J}}(p, y))$ (we lift $m_{\text{E}}$ to work on sets of configurations in the natural way).

Lemma 2. Let $\mathcal{J} = (\text{Acc}, \text{Adv}, E)$ be a conflict-tolerant initialized rectangular automaton. Let $A$ be a set of configurations of an initialized rectangular automaton $\mathcal{R}$ representable as a set of regions $B$ of $\mathcal{J}_\mathcal{R}$. Then the set of configurations $m'_{\text{E}}(A)$ is representable as the set of regions $m'_{\text{E}}(B)$.

Theorem 1. Given a plant $\mathcal{P}$, a controller $\mathcal{C}$ for $\mathcal{P}$ and a conflict-tolerant initialized rectangular automaton $\mathcal{J} = (\text{Acc}, \text{Adv}, E)$ over $(X, U, Y)$ such that $\mathcal{P}$ and $\mathcal{C}$ are initialized rectangular automata, it is decidable to check whether $\mathcal{C}$ satisfies $\mathcal{J}$ with respect to $\mathcal{P}$.

Proof. Given a deterministic rectangular hybrid automaton $\mathcal{H}$, we note that it will be stuck in a mode $q$ if both continuous evolution and discrete jumps are not possible from $q$. We can complete $\mathcal{H}$ by adding a trap mode $t$ and then adding jump transitions $q \rightarrow t$ from every other mode $q$ such that if the automaton gets stuck in a mode $q$, it can transition to $t$. We complete the advisor automaton $\text{Adv}$ to obtain $\text{Adv}'$.

Let $\mathcal{H}_1 = [\mathcal{P}[\mathcal{C}][\text{Acc}]]$ and $\mathcal{H}_2 = [\mathcal{P}[\mathcal{C}][\text{Adv}']]$ where $\mathcal{C}'$ is obtained from $\mathcal{C}$ by renaming every variable $u \in U$ to its primed version $u'$. In order to check if the controller $\mathcal{C}$ does not satisfy the tolerant specification $\mathcal{J}$ with respect to $\mathcal{P}$, it is necessary and sufficient to check if there exists a “trajectory” of the form:

$$i \rightarrow \mathcal{H}_1((p, q, a_1), v) \rightarrow ((p, q, a_2), w) \rightarrow \mathcal{H}_2((p', q', t), w'),$$

i.e. there exists a configuration $((p, q, a), v)$ of $\mathcal{H}_1$ reachable from an initial configuration of $\mathcal{H}_1$ and in $\mathcal{H}_2$ we can reach a trap configuration $((p', q', t), w')$ from $((p, q, a), w)$ such that $v \triangleright X = w \triangleright X$, $v \triangleright U' = w \triangleright U$ and $m_{\text{E}}((a_1, v \triangleright Y)) = (a_2, w \triangleright Y)$. Thus, even after the plant follows the advice of the controller $\mathcal{C}$ from the configuration $((p, q, a), w)$, the resulting plant behaviour violates the specification as the advisor automaton reaches a trap mode (recall that $m_{\text{E}}$ maps a configuration of the acceptor to sets of configurations of the advisor).

In order to check whether a trap mode is reachable even after the plant follows the controller’s advice, we first translate the initialized rectangular automata $\mathcal{H}_1$ and $\mathcal{H}_2$ to the corresponding timed automata $\mathcal{J}_1$ and $\mathcal{J}_2$. Given

$$I \rightarrow \mathcal{J}_1 \text{ Reach} \mathcal{J}_1(I) \rightarrow m_E \rightarrow \mathcal{J}_2 \text{ Reach} \mathcal{J}_2(f),$$

where $J$ is the set of configurations of the advisor automaton reachable from the set of initial configurations $I$ of the acceptor automaton, we are interested in checking whether $\text{Reach} \mathcal{J}_2(f)$ contains a configuration of the form $((p', q', t), w')$ for some $p', q', w'$. The reachability check can be carried out in $\mathcal{J}_1$ and $\mathcal{J}_2$ asthen $I \rightarrow \mathcal{J}_1 \text{ Reach} \mathcal{J}_1(I) \rightarrow m_{\text{E}}$ and $I \rightarrow \mathcal{J}_2 \text{ Reach} \mathcal{J}_2$. By Lemmas 1 and 2, it is sufficient to check if $\text{Reach} \mathcal{J}_2$ contains a configuration of the form $((\_, \_, t), \_)$.

6 Conclusion

In this paper we have studied the problem of specifying and verifying controllers in a hybrid setting. We have introduced the notion of conflict-tolerant specifications in this setting and provided a novel hybrid automata based mechanism for representing such specifications. We have also given a decision procedure for the problem of verifying whether a given controller satisfies a given conflict-tolerant specification when the plant, controller and the specification are modeled as initialized rectangular automata.

If valid conflict-tolerant controllers can be constructed to satisfy tolerant specifications, then supervisory controller design is considerably simplified, thus easing the system builder’s task of integrating independently designed controllers procured from different vendors. We note that each controller need only be specified, developed and verified once regardless of which other controllers it is integrated with.

In earlier work in a discrete and timed setting, we had proposed a specification mechanism using automata with “advised” and “not-advised” transitions. This mechanism (as also the definition of an advice function) required specifications to be “consistent,” in that whenever a behaviour
was advised after a behaviour \( \sigma \) (i.e. \( \tau \in f(\sigma) \)), the advice for \( \sigma \cdot \tau \) should be precisely the extensions of \( \tau \) in the advice after \( \sigma \) (namely \( f(\sigma) \)). This restriction precludes specifications like the one in Figure 2(b) which are natural requirements to specify when building controllers that can be suspended and then resumed later. Such specifications can now be specified using the hybrid automaton based mechanism in this paper.

Given controllers that are verified to conform to their respective tolerant specifications, and a priority ordering on these controllers, one could ask for a supervisory controller that “maximizes” the advice of the controllers in that it ignores the advice of a controller only if the controller’s advice conflicts with that of a higher priority controller. In a restricted setting of switched control (where a controller can only switch the discrete “mode” of the plant) we have shown how to build such a supervisory controller. The reader is referred to\(^\text{11}\) for further details.

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References

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